

## **A Gift of Securities to the SEC Historical Society**

Thank you for your intent to make a gift to the SEC Historical Society through a contribution of appreciated securities. By making such a gift, you will not only support the work of the Society in sharing, preserving and advancing knowledge of the history of financial regulation through our virtual museum and archive at, but you may also receive significant tax benefits. Please consult your attorney or accountant for tax advice concerning a specific gift.

### **Making a Gift of Securities**

Please notify the SEC Historical Society (giving@sechistorical.org; 202-549-0158) of your intent to make a gift of securities. Please then send a letter of intent, signed exactly the same as on the stock certificate, to your broker or bank (with a copy to the Society):

- Stating your wish to transfer to an account for the SEC Historical Society \_\_\_\_ (number of) shares of \_\_\_\_\_ (name of) stock;
- Indicating the purpose of the gift;
- Directing your bank or broker to transfer the gift to:  
Account # 642040736  
DTC # 0015  
Morgan Stanley Wealth Management  
1775 Eye Street NW, Suite 200  
Washington, D.C. 20006  
  
202-862-9020 (telephone)  
800-745-2451 (toll-free)  
202-905-2984 (fax)

The SEC Historical Society's Federal identification number is 52-2213646.

Instructions to sell can only come from the SEC Historical Society.

### **Valuation**

The date of your gift is the date on which you have given up domain and control over the securities by unconditionally transferring the securities to your broker or bank. For listed securities, the value of the gift is the mean between the high and low quotations on the date the gift is made. You may contact your bank or broker for this information.