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SECURITIES AND EXCHANGE COMMISSION HISTORICAL SOCIETY

1001 PENNSYLVANIA AVENUE, N.W. | SUITE 810 SOUTH | WASHINGTON, D.C. 20004-2505

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Live via the Internet! Tune in to <u>www.sechistorical.org</u> for a Roundtable on Enforcement at the U.S. Securities and Exchange Commission on Wednesday, September 25th from 2:00 to 5:00 p.m. EDT, moderated by Irving Pollack and Stanley Sporkin, the first two directors of the Division of Enforcement. Panelists will include David Doherty, Benjamin Greenspoon, Alan Levenson, Theodore Levine, Thomas Rae, Alan Rosenblat, Richard Rowe, David Silver, Theodore Sonde and Wallace Timmeny.

For the first time, the Securities and Exchange Commission Historical Society will offer a live audiostream on its Web site of the oral histories Roundtable on enforcement before the creation of the Division of Enforcement, and enforcement during the early days of the division. The Roundtable will be broadcast from the William O. Douglas Open Meeting Room at the SEC.

For further information, please contact the Society by email: c.rosati@sechistorical.org.

EXECUTIVE DIRECTOR Carla L. Rosati, CFRE

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