

THE NEUTRAL CORNER...A Survey of Our Audience

In 1995, NASD Dispute Resolution, Inc., developed a newsletter entitled *The Neutral Corner*, designed to inform its roster of mediators and arbitrators, as well as other constituents, about new initiatives, the status of proposed rule filings, important procedures and guidelines, and other items of interest. Since effective communication is essential to the dispute resolution process, NASD Dispute Resolution staff also wants its neutrals to know who we are and how they can reach us.

It's been five years since the creation of *The Neutral Corner*. Accordingly, we'd like to take this opportunity to learn your thoughts about our newsletter. Please take a few minutes to give us your feedback by completing the following survey. A self-addressed, postage-paid envelope is enclosed for your convenience. You may also fax your completed survey to Luis Cruz at (212) 858-4389. Your responses will be kept confidential.

Thank you in advance for your time and cooperation.

Instructions: Please mark the appropriate box.

| 1 | Are you: | | | | | | |
|---|---|-----------------------------------|----------------|--------|--|--|--|
| | an NASD Dispute | Resolution Arbitrator | r: [] yes | [] no | | | |
| | an NASD Dispute | Resolution Mediator | : [] yes | [] no | | | |
| 2 | Are you: [] an attorney | [] a non-attorney | | | | | |
| 3 | Are you classified [] public arbitrato | d as a(n): r [] industry arbitra | tor | | | | |
| 4 | How often do you read <i>The Neutral Corner</i> ? | | | | | | |
| | [] every issue | [] occasionally | [] never | | | | |
| 5 | Overall, do you li | ke our newsletter? | | | | | |
| | [] yes | [] no | [] no opinion | 1 | | | |

| 6 | | - | | he Neutral Corner? | |
|----|------------------------------------|-------------------------------------|-------------------|---|-------------------|
| | [] excellent | [] good | [] fair | [] poor | |
| 7 | Resolution neutra | als about new ini | itiatives, propo | s its primary goal of inform sed rule filings, and impor stion, please explain why in | tant procedures |
| 8 | How would you ra | ate the general c | content of The | Neutral Corner? | |
| | [] excellent | [] good | [] fair | [] poor | |
| 9 | How would you ra | ate the clarity of | the language i | n The Neutral Corner? | |
| 10 | | | ublished three | times a year. How often wo | ould you |
| | like to see it publ | | way it is (3 time | s a year) [] 2 times a yea | r []1 time a year |
| 11 | available on an Ir why in the comm | nternet Web Site? ents section belo | ? (If you answe | were only available online, er "no" to this question, ple | _ |
| | [] yes | [] no | | | |
| im | ase use the space | below to provid | - | nts or suggestions as to ho any of your answers above | - |
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