

file 144-13A

NEW YORK STOCK EXCHANGE

ELEVEN WALL STREET

NEW YORK, N. Y. 10005

ROBERT M. BISHOP  
VICE PRESIDENT  
DIRECTOR  
DEPARTMENT OF MEMBER FIRMS

May 1, 1968

RECD-S.E.C.

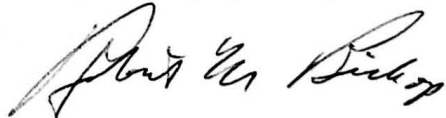
MAY 3 - 1968

Mr. Martin Moskowitz, Special Counsel  
Office of Regulation  
Division of Trading and Markets  
Securities and Exchange Commission  
Washington, D. C. 20549

Dear Mr. Moskowitz:

There is enclosed, for the Commission's  
confidential information, a list in duplicate of  
visits made by our Examiners to member organizations  
of the Exchange during April 1968.

Very truly yours,



(enclosures 2)



VISITS TO MEMBER ORGANIZATIONS IN NEW YORK CITY  
BY EXAMINERS OF THE NEW YORK STOCK EXCHANGE  
DURING APRIL 1968

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Date Started

April	8	John E. Barrett & Co.
"	"	Cahill, Smith & Gallatin
"	"	Campbell & Co.
"	"	Neville G. Hart & Company
"	"	Henderson Brothers, Inc.
"	10	Arden & Gitterman
"	"	Braun, Yampell & Hess
"	"	Sanford C. Bernstein & Co.
"	11	Chauncey & Co.
"	"	J. J. Conklin & Company
"	15	Richard W. Clarke & Co.
"	"	Creem & Creem
"	"	Cyril de Cordova & Bro.
"	17	Diamond, Turk & Co.
"	18	du Pasquier & Company, Inc.
"	"	Einhorn & Co.
"	19	C. A. Durand & Son
"	23	Thomas F. Fagen & Co.
"	"	Fagenson & Frankel Co., Inc.
"	"	Foster & Co.
"	"	Salomon Brothers & Hutzler
"	24	Fagan & Company
"	"	Gaines, Reis & Co.
"	25	Percy Friedlander & Co.
"	"	Gengler Brothers
"	"	C. V. Gianni & Co.
"	29	Fowler & Rosenau
"	"	Hackney & Co.
"	"	P. V. Hall & Company
"	"	Hiegel & Company

VISITS TO MEMBER ORGANIZATIONS (OTHER THAN THOSE IN NEW YORK CITY)  
BY EXAMINERS OF THE NEW YORK STOCK EXCHANGE  
DURING APRIL 1968

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<u>Date Started</u>	<u>Organization</u>	<u>City</u>
<u>CALIFORNIA</u>		
✓ April 22	Birr, Wilson & Co., Inc.	San Francisco
<u>ILLINOIS</u>		
✓ April 1	McCormick & Co., Incorporated	Chicago
<u>NEW YORK</u>		
✓ April 4	Trubee, Collins & Co.	Buffalo
✓ " 8	Common, Dann & Co.	"
<u>CANADA</u>		
✓ April 23	Nesbitt, Thomson and Company Limited	Montreal