

CHRONOLOGY OF J. E. JONES v. SECURITIES AND
EXCHANGE COMMISSION

- May 4, 1935. Jones filed with Securities and Exchange Commission a registration statement on Form C-1. (R. 3.)
- May 20, 1935. Securities and Exchange Commission authorized institution of stop order proceedings against Jones on this registration statement (Pet. Br. p.). (R. #639, p. 109.)
- May 23, 1935. Telegraphic notice of fact that Commission believes that registration statement contains untrue statements, etc., and that a hearing would be held on June 6 "at which time and place registrant may appear and show cause why a stop order should not be issued." (R. 13.)
- May 24, 1935. Petitioner's registration statement became effective.
- May 24, 1935. Telegram confirmed by letter.
- May 25, 1935. Order designating John S. Hurley, an officer of the Commission "to administer oaths and affirmations, subpoena witnesses, compel their attendance, take evidence and ^{if} require the production of any books, papers, correspondence or other records deemed relevant or material to the inquiry, and to perform all other duties in connection therewith authorized by law." (R. #639, pp. 110-111.)
- June 1, 1935. Order entered, at request of petitioner, changing date of hearing from June 6, 1935 to June 18, 1935 (R. #639, p. 112).
- June 5, 1935. Order changing designation of officer from Hurley to William Green, and empowering him in words identical with those used above for Hurley.
- June 13, 1935. Subpoena issued to Jones by George C. Mathews, a Commissioner of the Securities and Exchange Commission requiring him to testify and bring papers on June 18 (R. 90). The failure to obey this subpoena is not in issue in the present proceeding.
- June 15, 1935. Above subpoena served on petitioner (R. 30).
- June 18, 1935. Hearing held, and adjourned to June 27, 1935 (R. 14).
- June 18, 1935. Petitioner, by his counsel, notified the Commission that he wished to withdraw his registration statement.
- June 18, 1935. Permission to withdraw refused by Green, the officer of the Commission conducting the hearing (R. 30-31) and by a minute of the Commission. (R. #639, p. 180.)
- June 18, 1935. Green issued a subpoena to petitioner commanding him to appear and testify on June 27. (R. 15-16.)

June 19, 1935. Petitioner was served with this subpoena in New York (R. 31).

June 27, 1935. Hearing was held, at which petitioner's counsel appeared and presented:

- (1) A dismissal of the registration statement (R. 96-97).
- (2) A motion to dismiss the registration statement (R. 97-98).
- (3) A motion to quash the subpoena (R. 98-99).
- (4) An objection to jurisdiction (R. 100-101).

All of which were overruled by the officer conducting the proceeding and by the Commission. (R. 31-32. Also R. #639, p. 160.)

June 27, 1935. Hearing adjourned indefinitely, pending the time when the Commission could force petitioner to appear by a court order. (R. #639, p. 165.)

July 3, 1935. Application for order filed by Commission to require petitioner to appear before Green on a date to be designated by the court.

July 3, 1935. Goddard, U. S. D. J., issued order to show cause to petitioner why he should not be required to appear.

July 6, 1935. Answer filed by petitioner. (See R. 102.)

August 12, 1935. Opinion rendered by Caffey, U. S. D. J. in favor of Securities and Exchange Commission.

August 23, 1935. Order entered by Caffey requiring petitioner to appear before Securities and Exchange Commission on September 9, 1935. (R. 102.)

August 23, 1935. Appeal allowed to C. C. A. 2d. (R. 110-111.)

November 4, 1935. C. C. A. affirmed. (R. 119-125.)

November 12, 1935. Order for mandate issued by C. C. A. (R. 126.)

November 21, 1935. Petition for rehearing denied. (R. 126.)

December 17, 1935. Petition for certiorari filed.

February 3, 1936. Certiorari granted. (R. 129.)