

May 8, 1935.

Hon. John J. Bennett,  
Attorney General of the State of New York,  
Albany, New York.

My dear Mr. Attorney General:


In connection with our proposed registration of brokers and dealers transacting business on the over-the-counter markets, we are compiling a list of firms and individuals who, during the past ten years, have been convicted of any crime involving the purchase or sale of a security or have been enjoined from engaging in any practice in connection with the purchase or sale of a security.

The Quarterly Reports of the Securities Division of your Office, dealing with court actions under its jurisdiction, would be of the greatest assistance to us in the work we are doing. May I, therefore, request that you make available at your Office in New York City, for the use of our New York Regional Office, copies of the Quarterly Reports of the Securities Division from January 1, 1925, to the present time.

Thanking you for your kindness in this matter, I am,

Yours very truly,

Joseph P. Kennedy  
Chairman

  
DSaperstein:fej  
(S.Davis)  
CC to Mr. Page.